

**IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF VIRGINIA  
Richmond Division**

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**OPPENHEIMER & CO. INC.**

**Plaintiff,**

**v.**

**DEBORAH A. GOODERMUTH and  
AMERIPRISE FINANCIAL SERVICES, INC.,**

**Defendants.**

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**CASE No. 3:15-cv-00432**

**JOINT STATUS REPORT**

COME NOW the parties, by counsel, and report as follows:

On August 31, 2015, the Court entered an Order (ECF No. 27) staying the above captioned matter while the parties proceeded to a Financial Industry National Regulatory Authority (“FINRA”) arbitration on the merits of Plaintiff’s claims. The Court also directed the parties to file a status report every ninety (90) days. Pursuant to the Court’s directive, the parties jointly report that they have completed the initial FINRA arbitration pleadings stage, are in the process of exchanging paper discovery, and have an Initial Prehearing Conference with the arbitration panel scheduled for December 21, 2015, where the remaining arbitration deadlines, including hearing dates, will be addressed.

In light of the foregoing, the parties jointly request that this matter remain stayed pending the outcome of the pending FINRA arbitration. To that end, and pursuant to the Court’s August

31, 2015 Order, the parties will continue to provide 90-day status reports to keep the Court apprised of the arbitration process.

Dated: November 30, 2015.

Respectfully submitted,

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